

## Electronic Acknowledgement Receipt

|   |                                       |
|---|---------------------------------------|
| <b>EFS ID:</b>                              | 4176708                               |
| <b>Application Number:</b>                  | 09802163                              |
| <b>International Application Number:</b>    |                                       |
| <b>Confirmation Number:</b>                 | 1129                                  |
| <b>Title of Invention:</b>                  | Automated first look at market events |
| <b>First Named Inventor/Applicant Name:</b> | Christopher Keith                     |
| <b>Customer Number:</b>                     | 52531                                 |
| <b>Filer:</b>                               | Kevan L Morgan/Kelli Bannister        |
| <b>Filer Authorized By:</b>                 | Kevan L Morgan                        |
| <b>Attorney Docket Number:</b>              | 125525                                |
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| <b>Time Stamp:</b>                          | 20:02:58                              |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)           |

### Payment information:

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| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$ 180          |
| RAM confirmation Number                  | 4095            |
| Deposit Account                          | 031740          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:  
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

**File Listing:**

| Document Number                     | Document Description                                 | File Name  | File Size(Bytes)/ Message Digest                   | Multi Part /.zip | Pages (if appl.) |
|-------------------------------------|--|--|--|------------------|------------------|
| 1                                   | Information Disclosure Statement (IDS) Filed (SB/08) | 25525_Fifth_Supplemental_US_IDS_Form__SB_08a.pdf | 750545<br>5ff79bae82ffb5df1b513e82aa8fcd17c649a00  | no               | 4                |
| <b>Warnings:</b>                    |  |  |  |                  |                  |
| <b>Information:</b>                 |  |  |  |                  |                  |
| 2                                   | NPL Documents  | 25525_Paradigm.pdf                               | 191932<br>9864e33bfc9686746fbadc2e804bbf9f4391ec8b | no               | 4                |
| <b>Warnings:</b>                    |  |  |  |                  |                  |
| <b>Information:</b>                 |  |  |  |                  |                  |
| 3                                   | Fee Worksheet (PTO-06)                               | fee-info.pdf                                     | 29932<br>7420f1538ba8a619a4264e7e561f9d39ee53f8ef  | no               | 2                |
| <b>Warnings:</b>                    |  |  |  |                  |                  |
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| <b>Total Files Size (in bytes):</b> |  |  | 972409   |                  |                  |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.